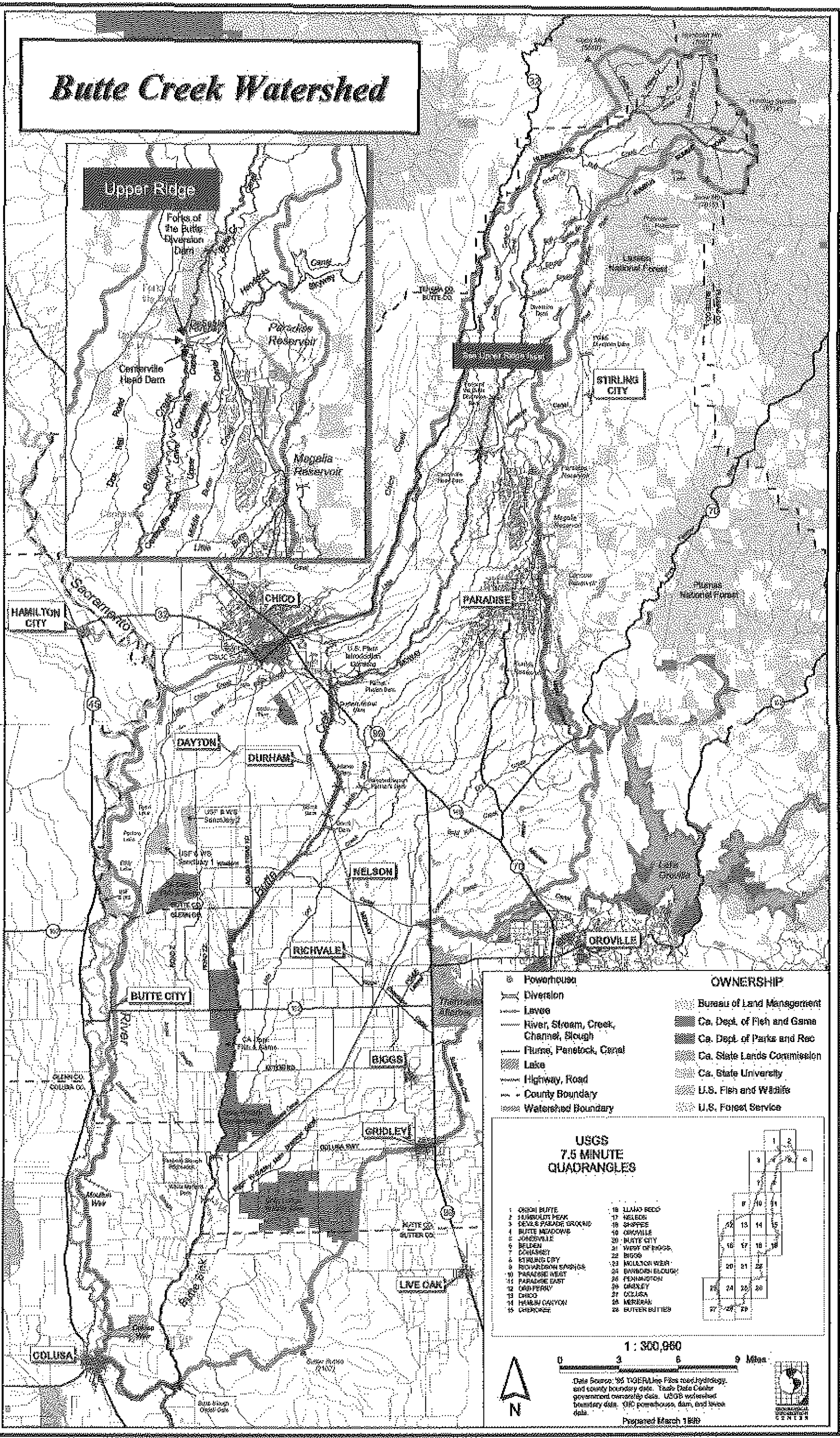
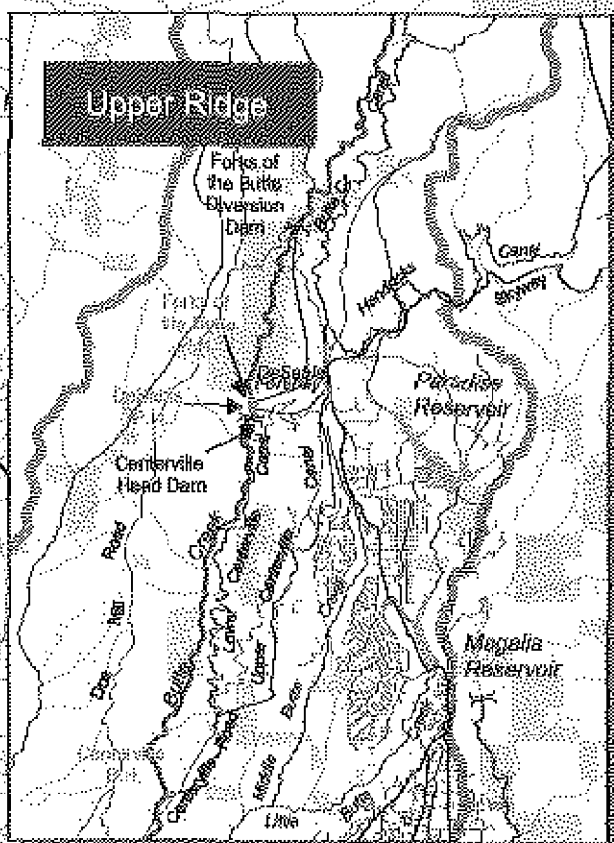


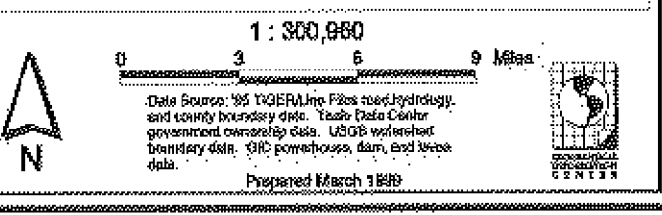
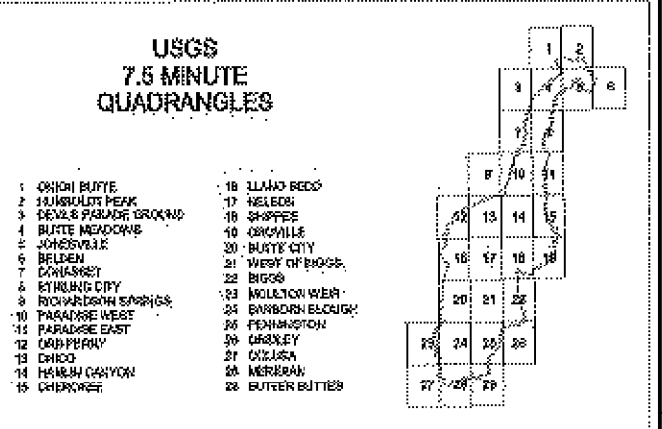
ATTACHMENT A

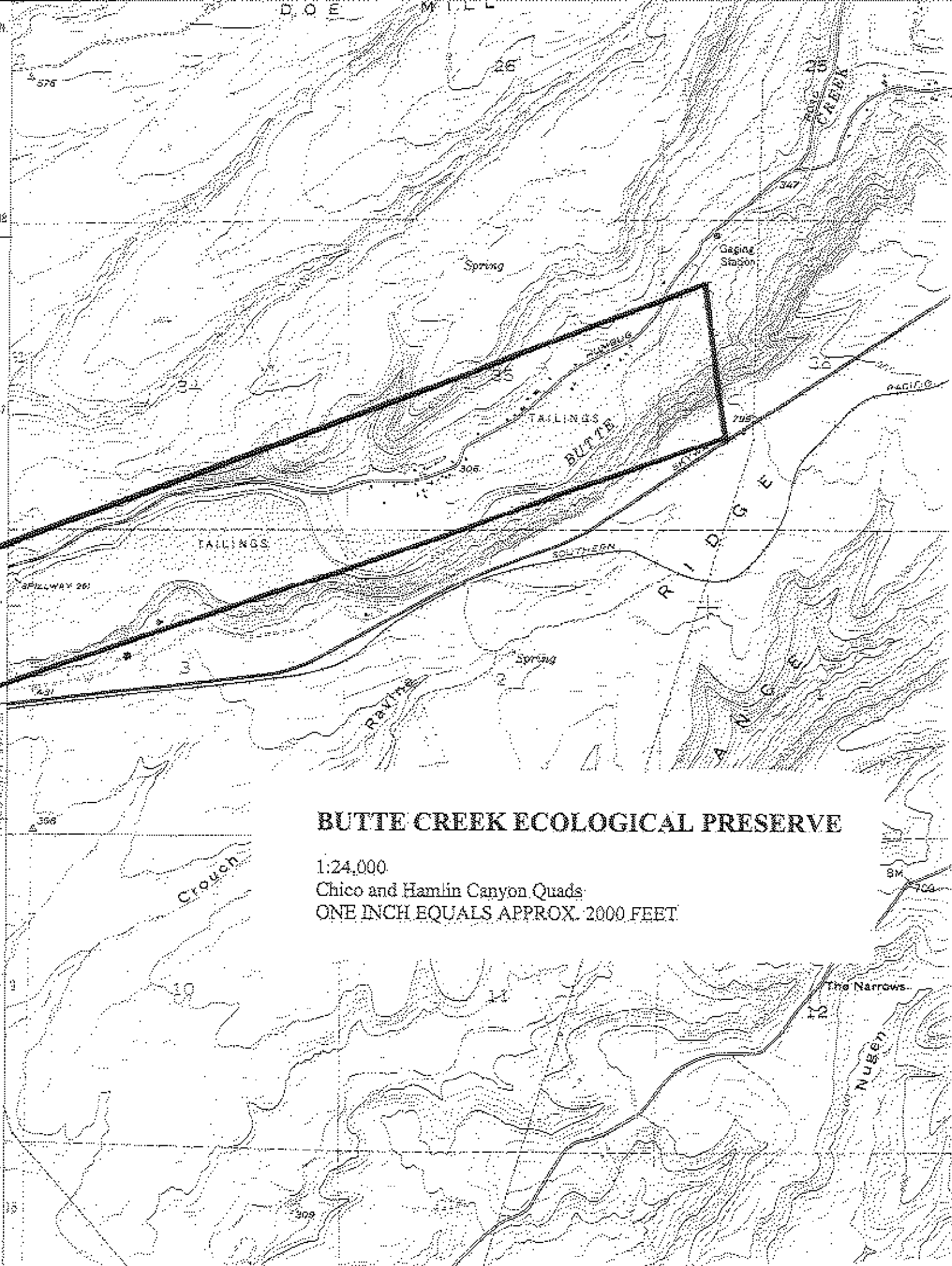
Butte Creek Watershed

Upper Ridge



- OWNERSHIP**
- Bureau of Land Management
 - Ca. Dept. of Fish and Game
 - Ca. Dept. of Parks and Rec
 - Ca. State Lands Commission
 - Ca. State University
 - U.S. Fish and Wildlife
 - U.S. Forest Service
- Legend:**
- Powerhouse
 - Diversion
 - Levee
 - River, Stream, Creek, Channel, Slough
 - Rume, Penstock, Canal
 - Lake
 - Highway, Road
 - County Boundary
 - Watershed Boundary



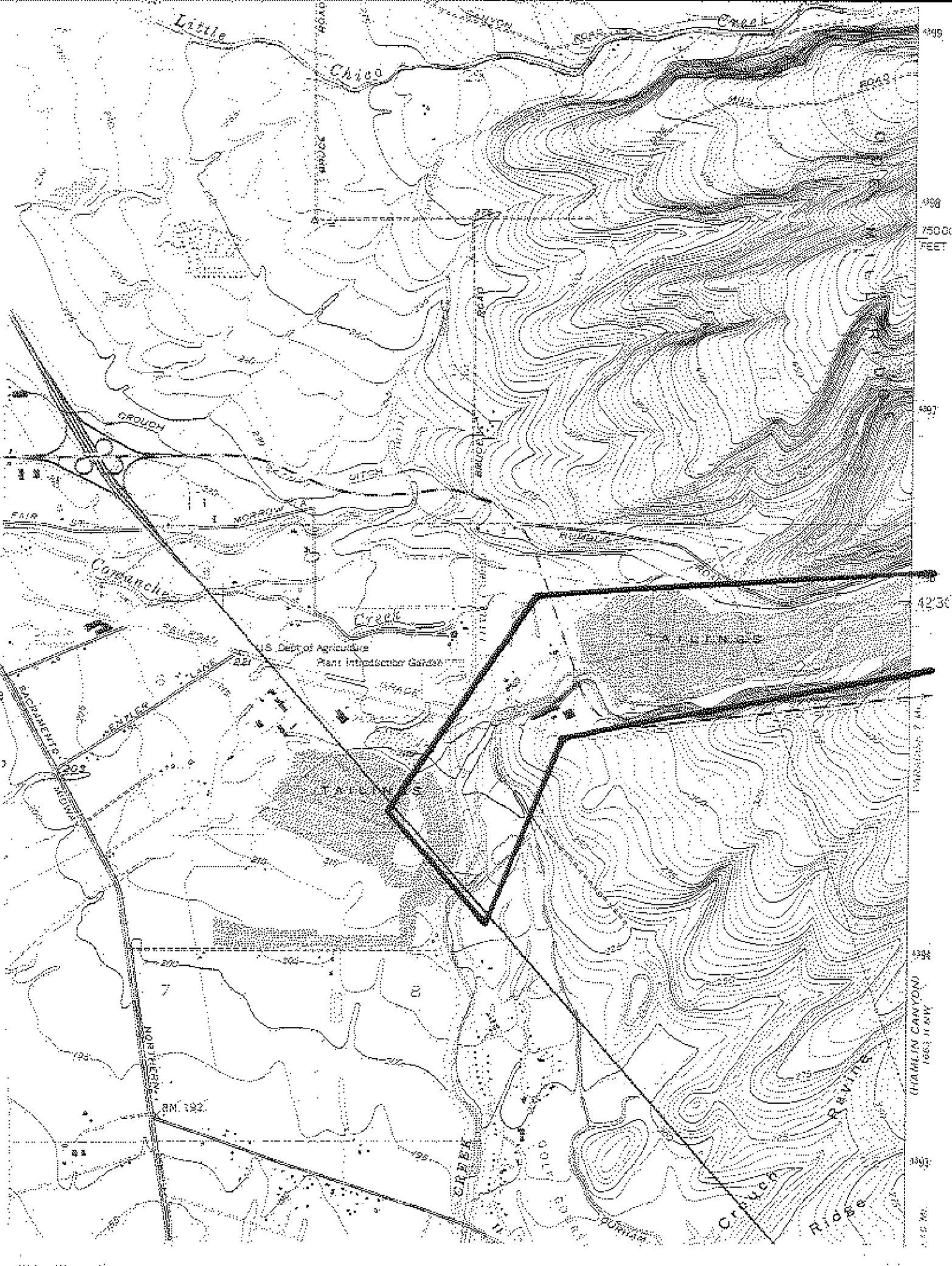


BUTTE CREEK ECOLOGICAL PRESERVE

1:24,000

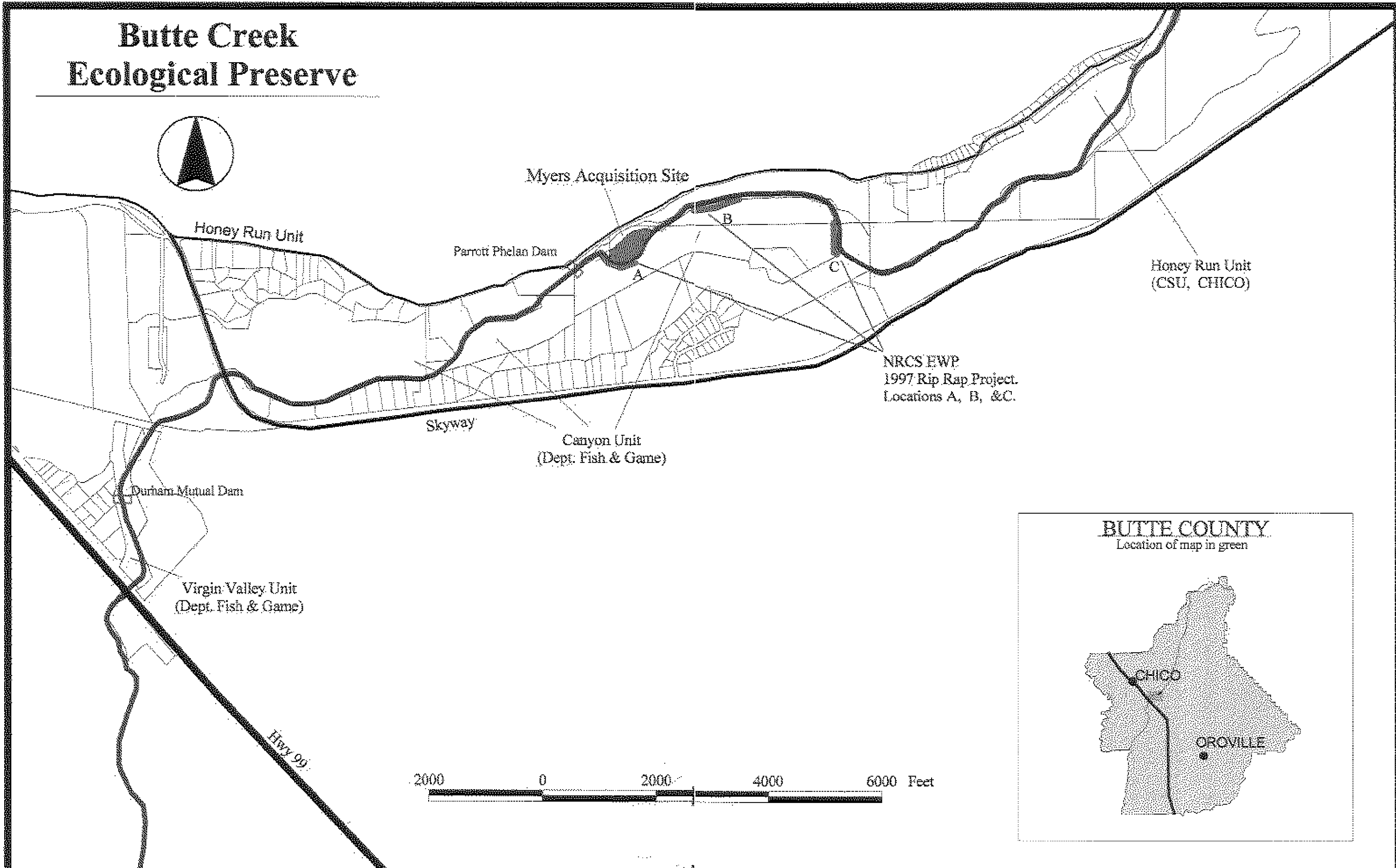
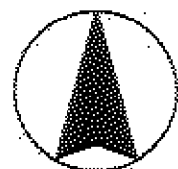
Chico and Hamlin Canyon Quads

ONE INCH EQUALS APPROX. 2000 FEET



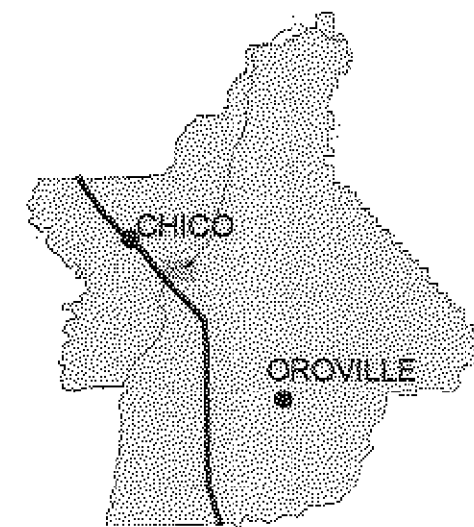
ATTACHMENT B

Butte Creek Ecological Preserve



BUTTE COUNTY

Location of map in green



NOTE: THIS MAP IS FOR VISUAL ORIENTATION ONLY.

CSU, CHICO WATERSHED PROJECTS
BUTTE CREEK WATERSHED CONSERVANCY

ATTACHMENT C

MEMORANDUM OF UNDERSTANDING
between
California State University, Chico, Research Foundation
and
State of California, Department of Fish and Game

This Memorandum of Understanding (MOU) is made between the California State University, Chico, Research Foundation (CSUC) and the State of California, Department of Fish and Game (DFG).

I. INTRODUCTION

The cooperative management Canyon Unit and the Virgin Valley Unit of the Butte Creek Ecological Preserve will protect significant biological resources and connected to the CSUC Ecological Reserve (historically know as the McAmis property) will provide consistent management and protection for three and a half miles of Butte Creek. This riparian corridor has rich ecological resources such as cottonwood riparian, oak riparian, and oak, pine, mixed chaparral. These lands are part of the migration, holding and spawning corridor for threatened aquatic species such as spring run chinook and steelhead trout and migration, and nesting areas for threatened avian species such as bald eagles and Swainson's hawks. It is also habitat for many native populations of plants and animals that are threatened by urban encroachment.

II. MUTUAL BENEFITS

The cooperative management of the Butte Creek properties by CSUC and DFG will emphasize fish and wildlife species and their habitats, including cottonwood and oak riparian habitats, blue oak woodland habitat, mixed chaparral habitat, and annual grassland habitat. Additionally, management will encompass providing limited, improved public access along the corridor. Management issues such as control of alien species, restoration of impacted habitats and use as an educational resource are best addressed with a partnership approach.

III. PURPOSE

The purpose of this agreement is to establish the basis of a cooperative partnership of people, organizations, and facilities with the common need to their individual abilities to manage the property.

IV. RESPONSIBILITIES

1. The California Department of Fish and Game agrees:
 - A. To provide warden patrols to protect the resources of the preserves.
 - B. To provide other resources through a mutual agreement when the assistance of DFG would provide the most appropriate support.
 - C. To cooperate in the development and implementation of projects.
 - D.

2. The Research Foundation, California State University, Chico agrees:
 - A. To provide day to day services to protect, restore, and enhance the resources of the preserves.
 - B. To cooperate in the development and implementation of projects.
 - C. To initiate a management plan to be followed with agreement of both agencies.
 - D.

3. The DFG and CSUC mutually agree:
 - A. To work cooperatively to develop management proposals that improve and enhance riparian habitats for fish and wildlife species.
 - B. To cooperatively develop a mutually approved long range management strategy for the area.
 - C. To manage for resource values such as recreation where the development of the values are consistent and compatible with the fish and wildlife emphasis of the area.
 - D.

V. IT IS MUTUALLY AGREED AND UNDERSTOOD BY THE PARTIES THAT:

1. Specific studies, activities or projects which involve the transfer of money, services or property between the parties to this MOU will require execution of separate agreements or contracts. Each subsequent agreement or arrangement involving the transfer of money, services or property between the parties to this MOU must comply with all applicable statutes and regulation, including those statutes and regulations applicable to procurement activities, and must be independently authorized by appropriate statutory authority.
2. Nothing in this agreement shall be construed as obligating the parties to expand appropriations or to enter into any contract or other obligation.
3. This instrument is neither a fiscal nor funds obligation document. Any endeavor involving reimbursement or contribution of funds between the parties to this instrument will be handled in accordance with applicable laws, regulations, and procedures including those for Government procurement and printing. Such endeavors will be outlined in separate agreements that shall be made in writing by representatives of the parties and shall be independently authorized by appropriate statutory authority. This instrument does not provide such authority. Specifically, this instrument does not establish authority for non-competitive award to the cooperator of any contract or other agreement. Any contract or agreement for training or other services must fully comply with all applicable requirements for competition.
4. This MOU in no way restricts either party from participating in similar activities or arraignments with other public or private agencies, organizations, or individuals.

5. Modification within the scope of this MOU shall be made by the issuance of a bilaterally executed modification prior to any changes being performed.
6. This MOU may be terminated in whole or in part by mutual agreement of the parties at any time before the date of expiration.
7. This MOU is executed as of the last date shown below and expires on March 1, 2004 at which time it will be reviewed and renewed pending approval of the parties.

VI. PRINCIPAL CONTACTS

The principal contacts for this instrument are:

Banky Curtis
CA Department of Fish and Game
Sacramento Valley-Central Sierra
1701 Nimbus Rd., Suite A
Rancho Cordova, CA 95670
916-358-2900

Scott McNall
Provost and Vice President for Academic Affairs
California State University, Chico
Chico, CA 95929-0110
530-898-6101

IN WITNESS WHEREOF, the parties hereto, have executed this agreement as of March 1, 1999.

Gayle W. Miller Acting Regional Manager 4/12/99
DEPARTMENT OF FISH AND GAME DATE

Scott McNall
RESEARCH FOUNDATION 3/29/99
CALIFORNIA STATE UNIVERSITY, CHICO DATE

ATTACHMENT D



BUTTE CREEK

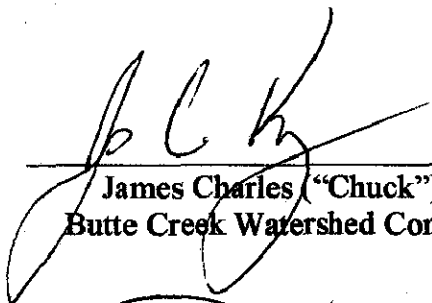
WATERSHED CONSERVANCY

P.O. Box 1611, Chico CA 95927-1611

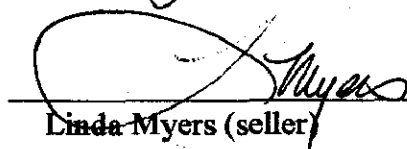
Linda Meyers
554 Honeyrun Road
Chico, California 95928

Re: Myers Property Acquisition (Asking Price - \$229,000)

Upon acquisition of grant funding, proper appraisal by funding agencies (funder), and approval of the Butte Creek Watershed Conservancy Board of Directors, the Butte Creek Watershed Conservancy (buyer) is interested in purchasing from seller the approximately 10-acre parcel located at 554 Honeyrun Road, Chico, California. The undersigned have read the foregoing and agree to work cooperatively in this process.


James Charles ("Chuck") Kutz
Butte Creek Watershed Conservancy

04-14-99
Date


Linda Myers (seller)

4-14-99
Date

ATTACHMENT E

G. Mathias Kondolf, Ph.D.
Geomorphology, Hydrology, and Water Resources

23 March 1999


Professor Don Holtgrieve
Department of Geography and Planning
California State University, Chico
Chico, California 95929-0425

Dear Don,

I have reviewed the aerial photos and visited the Honey Run Unit along Butte Creek. It appears to be a good candidate for upland restoration and I see no reason to delay upland restoration there pending outcome of the fluvial geomorphic study.

If you have any questions, please contact me at the number below.

Sincerely yours,



G. Mathias Kondolf, Ph.D

ATTACHMENT F

CSU, Chico Research Foundation
California State University, Chico
Chico, California 95929-0870
(530) 898-4044; FAX: (530) 898-6804

April 12, 1999

Butte County Board of Supervisors
Beeler, Davis, Dolan, Houx and Josiassen
25 County Center Drive
Oroville, CA 95965

Kristin Cooper Carter, Coordinator
Environmental Resource Program
Office of Sponsored Programs
California State University, Chico
Chico, CA 95929-0870

Dear Supervisors Beeler, Davis, Dolan, Houx and Josiassen,

In compliance with the requirements of the CALFED Bay-Delta Program's Ecosystem Restoration Program and Strategic Plan 1999 Proposal Solicitation Package, we are formally notifying you of the submission of eight grant applications that reside either in part or fully within the boundaries of Butte County.

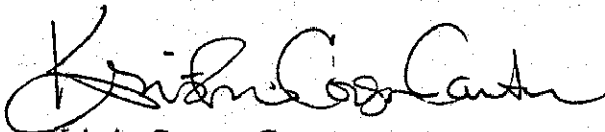
A list of the proposals submitted follows:

1. Upper Butte Creek Road Management Improvement Project
2. Butte Creek Watershed Education Program
3. Cherokee Watershed Sediment Transport and Water Quality Analysis
4. Butte Creek Howard Slough Riparian Restoration
5. Development of a Watershed Management Strategy for Little Chico Creek Watershed, Phase II and III.
6. Watershed Coordination for Big and Little Chico Creeks
7. Butte Creek Acquisition, Revegetation, and Restoration Assessment Project
8. Sacramento Valley: Eastside Small Streams

Executive summaries of all of these proposals will be forthcoming.

If you have any questions about these proposals, please feel free to call my office at (530) 898-5026.

Sincerely,



Kristin Cooper Carter
cc. Butte County Planning Department,
Thomas Parilo
Project Coordinators
Jeff Wright

CSU, Chico Research Foundation
California State University, Chico
Chico, California 95929-0870
(530) 898-4044; FAX: (530) 898-6804

April 12, 1999

Tom Parilo
Butte County Planning Department
7 County Center Drive
Oroville, CA 95965

Kristin Cooper Carter, Coordinator
Environmental Resource Program
Office of Sponsored Programs
California State University, Chico
Chico, CA 95929-0870

Dear Mr. Parilo

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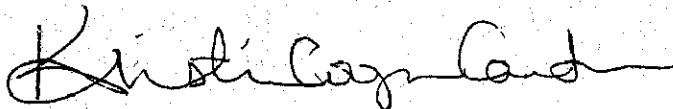
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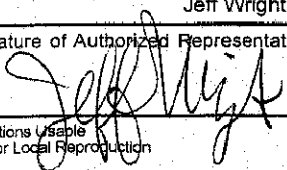
If you have any questions about these proposals, please feel free to call my office at (530) 898-5026.

Sincerely,



Kristin Cooper Carter
Butte County Board of Supervisors
Project Coordinators
Jeff Wright

APPLICATION FOR FEDERAL ASSISTANCE

1. TYPE OF SUBMISSION <i>Application</i> <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction		2. DATE SUBMITTED 4/16/99		Applicant Identifier	
		3. DATE RECEIVED BY STATE		State Applicant Identifier	
4. DATE RECEIVED BY FEDERAL AGENCY		Federal Identifier			
5. APPLICANT INFORMATION					
Legal Name: The CSU, Chico Research Foundation			Organizational Unit:		
Address (give city, county, state, and zip code): Kendall Hall, Room 114 CSU, Chico Chico, CA 95929-0870			Name and telephone number of person to be contacted on matters involving this application (give area code) Technical: Dr. Donald Holtgrieve: (530) 898-5780 Budgetary: Kristin Cooper-Carter: (530) 898-5026 Contractual: Virginia Sturr: (530) 898-5700		
6. EMPLOYER IDENTIFICATION NUMBER (EIN): <div style="border: 1px solid black; padding: 2px; display: inline-block;"> 6 8 - 0 3 8 6 5 1 8 </div>			7. TYPE OF APPLICANT: (enter appropriate letter in box) I		
8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es): A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration Other (specify): _____			A. State H. Independent School Dist. B. County I. State Controlled Institution of Higher Learning C. Municipal J. Private University D. Township K. Indian Tribe E. Interstate L. Individual F. Intermunicipal M. Profit Organization G. Special District N. Other (Specify) _____		
			9. NAME OF FEDERAL AGENCY: CalFed		
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: <div style="border: 1px solid black; padding: 2px; display: inline-block;"> . </div>			11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Butte Creek Acquisition, Revegetation and Restoration Assessment		
12. AREAS AFFECTED BY PROJECT (cities, counties, states, etc.): Butte County					
13. PROPOSED PROJECT:		14. CONGRESSIONAL DISTRICTS OF:			
Start Date Oct. 99	Ending Date Mar. 02	a. Applicant 2		b. Project 2	
15. ESTIMATED FUNDING:		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?			
a. Federal \$ 446,543.00		a. YES: THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE _____ b. NO. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E.O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW			
b. Applicant \$.00					
c. State \$.00					
d. Local \$.00					
e. Other \$.00					
f. Program Income \$.00					
g. TOTAL \$ 446,543.00		17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes," attach an explanation. <input checked="" type="checkbox"/> No			
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.					
a. Typed Name of Authorized Representative Jeff Wright		b. Title Director, Office of Sponsored Programs		c. Telephone number (530) 898-5700	
d. Signature of Authorized Representative 				e. Date Signed 4/15/99	

BUDGET INFORMATION - Non-Construction Programs

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. Phase I		\$	\$	\$ 159,672	\$	\$ 159,672
2. Phase II				\$ 105,236		\$ 105,236
3. Phase III				\$ 63,058		\$ 63,058
4. Phase IV				\$ 73,668		\$ 73,668
5. Project Management				\$ 44,909		\$ 44,909
6. TOTALS		\$ 0	\$ 0	\$ 446,543	\$ 0	\$ 446,543

SECTION B - BUDGET CATEGORIES

6. OBJECT CLASS CATEGORIES	GRANT PROGRAM, FUNCTION OR ACTIVITY						Total (\$6)
	(1) Phase I	(2) Phase II	(3) Phase III	(4) Phase IV	(5) Management		
a. Personnel	\$ 3,766	\$ 37,811	\$ 18,494	\$ 25,580	\$ 15,994		\$ 101,645
b. Fringe Benefits	\$ 1,124	\$ 7,044	\$ 5,796	\$ 7,468	\$ 5,097		\$ 26,529
c. Travel	\$ 300	\$ 500	\$ 500	\$ 500			\$ 1,800
d. Equipment		\$ 8,500	\$ 10,000				\$ 18,500
e. Supplies	\$ 100	\$ 500	\$ 500	\$ 500	\$ 4,300		\$ 5,900
f. Contractual	\$ 2,800	\$ 30,000	\$ 20,000		\$ 12,800		\$ 65,600
g. Construction				\$ 28,876			\$ 28,876
h. Other	\$ 150,000	\$ 5,000					\$ 155,000
i. Total Direct Charges (sum of 6a-6h)	\$ 158,090	\$ 89,355	\$ 55,290	\$ 62,924	\$ 38,191		\$ 403,850
j. Indirect Charges	\$ 1,582	\$ 15,881	\$ 7,767	\$ 10,744	\$ 6,717		\$ 42,691
k. TOTALS (sum of 6i and 6j)	\$ 159,672	\$ 105,236	\$ 63,057	\$ 73,668	\$ 44,908		\$ 446,541
7. PROGRAM INCOME	\$ 0	\$ 0	\$ 0	\$ 0			\$ 0

SECTION C - NON FEDERAL RESOURCES					
(a) Grant Program	(b) Applicant	(c) State	(d) Other sources	(e) TOTALS	
8. Phase I	\$0	\$0	\$0	\$0	
9. Phase II				\$0	
10. Phase III				\$0	
11. Phase IV				\$0	
12. TOTALS (sum of lines 8 and 11)	\$0	\$0	\$0	\$0	

SECTION D - FORECASTED CASH NEEDS					
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$301,759	\$188,745	\$38,746	\$38,746	\$35,522
14. NonFederal	\$0	\$0	\$0	\$0	\$0
15. TOTAL (sum of lines 13 and 14)	\$301,759	\$188,745	\$38,746	\$38,746	\$35,522

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT					
(a) Grant Program	FUTURE FUNDING PERIODS (YEARS)				
	(b) First	(c) Second	(d) Third	(e) Fourth	
16. Phase I	\$ 159,672	\$ 0	\$ 0	\$ 0	
17. Phase II	\$52,618	\$52,618	\$0	\$0	
18. Phase III	\$42,039	\$21,019	\$0	\$0	
19. Phase IV	\$29,467	\$29,467	\$14,734	\$0	
20. TOTALS (sum of lines 16 - 19)	\$283,796	\$103,104	\$14,734	\$0	

SECTION F - OTHER BUDGET INFORMATION		
21. Direct Charges:	\$403,852	22. Indirect Charges \$101,645 Base (Salaries & Wages) \$42,691 Total Indirect (42% of S&W)
23. Remarks		

CSU, Chico Research Foundation
California State University, Chico
Chico, California 95929-0870
(530) 898-4044; FAX: (530) 898-6804

Indirect costs are those costs that cannot by their nature be specified on a project by project basis in the same way that line item direct costs can. Generally, indirect costs are those that support project activities, as compared to those that are directly related to specific project tasks. Universities establish an indirect cost rate with the Federal Government by following the appropriate provisions of OMB Circular A-21. This circular was officially modified and reissued on May 8, 1996, which, among other things, changed the term "indirect costs" to "Facilities and Administrative (F&A) Costs." The circular spells out two methods for determining such costs. We use the "Simplified Method" for institutions with less than \$10 million in awards annually from the Federal Government. Currently, we have two rates approved by our Health and Human Services Regional Office (Region IX) contacts: 42% of salaries and wages for on-campus projects and 18.5% of salaries and wages for off-campus projects. May Wong (415-556-1704) is our contact and can provide you with verification of our rate which her office approves after reviewing our financial statements.

Typically indirect costs are intended to generally cover costs such as facilities (including the space itself as well as utilities and janitorial services), general administration, insurance, "infrastructure" (for instance, availability of such resources as library holdings and other resources--e.g., access to electronic databases, communication links, computing backbone, and the like), grant and contract management services, cost of advancing funds for projects which pay in arrears and similar costs.

U.S. Department of the Interior

Certifications Regarding Debarment, Suspension and
Other Responsibility Matters, Drug-Free Workplace
Requirements and Lobbying

Persons signing this form should refer to the regulations referenced below for complete instructions:

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions - The prospective primary participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions. See below for language to be used; use this form for certification and sign; or use Department of the Interior Form 1954 (DI-1954). (See Appendix A of Subpart D of 43 CFR Part 12.)

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions - (See Appendix B of Subpart D of 43 CFR Part 12.)

Certification Regarding Drug-Free Workplace Requirements - Alternate I. (Grantees Other Than Individuals) and Alternate II. (Grantees Who are Individuals) - (See Appendix C of Subpart D of 43 CFR Part 12)

Signature on this form provides for compliance with certification requirements under 43 CFR Parts 12 and 18. The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of the Interior determines to award the covered transaction, grant, cooperative agreement or loan.

PART A: Certification Regarding Debarment, Suspension, and Other Responsibility Matters -
Primary Covered Transactions

CHECK ☒ IF THIS CERTIFICATION IS FOR A PRIMARY COVERED TRANSACTION AND IS APPLICABLE.

- (1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
 - (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
 - (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- (2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

PART B: Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion -
Lower Tier Covered Transactions

CHECK ☐ IF THIS CERTIFICATION IS FOR A LOWER TIER COVERED TRANSACTION AND IS APPLICABLE.

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

PART C: Certification Regarding Drug-Free Workplace Requirements

CHECK ☒ IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS NOT AN INDIVIDUAL.

Alternate I. (Grantees Other Than Individuals)

A. The grantee certifies that it will or continue to provide a drug-free workplace by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about--
 - (1) The dangers of drug abuse in the workplace;
 - (2) The grantee's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will --
 - (1) Abide by the terms of the statement; and
 - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- (e) Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification numbers(s) of each affected grant;
- (f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted --
 - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a) (b), (c), (d), (e) and (f).

B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Butte County

Check ☐ if there are workplaces on file that are not identified here.

PART D: Certification Regarding Drug-Free Workplace Requirements

CHECK ☐ IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS AN INDIVIDUAL.

Alternate II. (Grantees Who Are Individuals)

- (a) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;
- (b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to the grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification numbers(s) of each affected grant;

PART E: Certification Regarding Lobbying
Certification for Contracts, Grants, Loans, and Cooperative Agreements

CHECK IF CERTIFICATION IS FOR THE AWARD OF ANY OF THE FOLLOWING AND
THE AMOUNT EXCEEDS \$100,000: A FEDERAL GRANT OR COOPERATIVE AGREEMENT;
SUBCONTRACT, OR SUBGRANT UNDER THE GRANT OR COOPERATIVE AGREEMENT.

CHECK IF CERTIFICATION IS FOR THE AWARD OF A FEDERAL
LOAN EXCEEDING THE AMOUNT OF \$150,000, OR A SUBGRANT OR
SUBCONTRACT EXCEEDING \$100,000, UNDER THE LOAN.

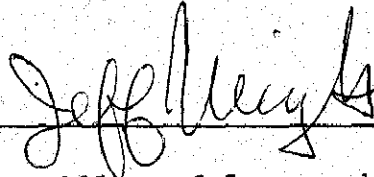
The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

As the authorized certifying official, I hereby certify that the above specified certifications are true.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL



TYPED NAME AND TITLE

Jeff Wright, Director, Office of Sponsored Programs

DATE

4-12-99

ASSURANCES - NON-CONSTRUCTION PROGRAMS

The reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

I, the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.

Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.

Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).

12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL

Jeff Wright

TITLE

Director, Office of Sponsored Programs

APPLICANT ORGANIZATION

The CSU, Chico Research Foundation

DATE SUBMITTED

4-16-99